Category: Academic Affairs Responsible Office: Academic Affairs	**Policy Title:** Faculty Salary Recovery from Sponsored Programs Document Number: 1002 Effective Date: February 15, 1990 This policy item applies to: State-Operated Campuses

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Summary

It is the policy of the State University of New York (University) to recover direct costs of sponsored programs to the maximum extent possible, consistent with sponsor policies, the mission of the University and of the campus involved, and the proper conduct of the sponsored programs.

Policy

It is the policy of the State University of New York (University) to recover direct costs of sponsored programs to the maximum extent possible, consistent with sponsor policies, the mission of the University and of the campus involved, and the proper conduct of the sponsored programs. If the professional responsibilities of a faculty member to an academic unit must be reduced to allow more time to be spent in carrying out a sponsored program and the unit incurs additional costs to maintain its programs, it is expected that appropriate portions of salary costs will be recovered.

When the effort of a faculty member in a sponsored program is activity expected as part of that individual's academic responsibilities, such participation does not reflect an increased cost to the University. That portion of a faculty salary representing time devoted to the project may be considered the University's contribution to a project of mutual interest and cost shared. When possible, some portion of the cost of this participation should be recovered from the funding source, since such recovery expands the campus resource base and its ability to support further research. Campuses will need to weigh carefully factors such as the competitiveness of the proposal and the availability of campus resources in making final decisions on apportioning salary recovery on sponsored programs.

Definitions

There are no definitions relevant to this policy.

Other Related Information

The State University of New York, as a public university system, has three primary missions: teaching, research and public service. All campuses fulfill these missions, but the form they take varies with the nature and mission of each campus.

At campuses with responsibility for doctoral programs, the teaching mission takes on a special form because doctoral education is research-based. Faculty time and effort necessary for the education of graduate students are not limited to scheduled classes, but also include the mentoring of students individually on the methodology and practice of research.

Since active research by faculty members is the basis for thesis and dissertation projects for graduate students, faculty research programs are essential to the instructional mission at the doctoral level. Thus, there is significant overlap between the instructional mission and the research mission in graduate education, and research becomes a particularly critical factor in the academic responsibilities of faculty members in the University centers and other doctoral campuses.

Therefore, University expects that faculty members in the University centers and doctoral campuses will spend a significant portion of their effort on research. In many fields, the value of University-based research is recognized through funding via federal agencies such as the National Science Foundation and the National Institutes of Health. Non-federal agencies also provide support for research in various disciplines. Such external funding allows the University's research mission to be expanded beyond that which can be supported by the State of New York alone. Thus, research may be carried out as a partnership between the state and federal governments or between the state and an external sponsor when the interests of the University and the sponsor coincide. Participation in sponsored projects enhances the University's missions of research and public service while also bolstering its teaching mission, particularly at the graduate level.

State University of New York Research Foundation policies:

- Salary Rules and Policies when Assigning Extra Service
- Salary Restrictions: Department of Defense
- Sponsor Salary Restrictions: NIH, AHRQ, and SAMHSA
- Salary Rules and Policies for Income Reimbursable (IFR) Assignments
- Fringe Benefits Cost Recovery Policy

Procedures

There are no procedures relevant to this policy.

Forms

There are no forms relevant to this policy.

Authority

Memorandum to Presidents from the office of the provost dated February 15, 1990.

History

This statement of policy on Faculty Salary Recovery was developed in consultation with a number of University groups, including the Presidents of the University Centers, the SUNY Doctoral Council, and the Sponsored Programs Advisory Council of The Research Foundation. The policy was approved by the Council of Presidents at its December 1989 meeting.

Appendices

There are no appendices relevant to this policy.